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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/910,159

07/20/2001

Mara Q. Devitt

05222.00131

CONFIRMATION NO. 2587

22908 BANNER & WITCOFF, LTD. TEN SOUTH WACKER DRIVE **SUITE 3000** CHICAGO, IL 60606

FORMALITIES LETTER

OC000000006515285

Date Mailed: 09/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/28/2001 NROCHA1 00000029 010850 09910159

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PTO/SB/21 (08-00)

Please type plus sign (+) inside this box -> [+]

TRANSMITTAL FORM			Applicati n Number		09/910,159			
			Filing Dat		July 20, 2001			
			First Named Inventor		Devitt			
(to be used for all correspondence after initial filing)			Group	Art Unit	2165			
			Exami	ner Name	n/a			
Total Number of Pages	in This Submission		Attorne	ey Docket Number	05222.00131			
ENCLOSURES (check all that apply)								
Fee Transmittal Fe	om		ment Pap		After Allowance Communication to Group			
Fee Attached Drav			g(s)	•	Appeal Communication to Board of Appeals and Interferences			
Amendment / Res	ponse	Licensi	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition			Proprietary Information			
1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2			to Conv onal App		Status Letter			
				ey, Revocation espondence Address	Other Enclosure(s) (please identify below):			
Express Abandonment Request			al Disclai st for Ref		Executed Declaration Return Receipt Postcard Copy of Notice to File Missing			
☐ Information Disclosure Statement ☐ C			mber of	CD(s)	Parts			
Certified Copy of F Document(s)				dditional fees or credit overpayment to . 01-0850. A duplicate copy of this sheet				
Response to Miss Incomplete Applic								
Response to N Parts under 3 1.52 or 1.53								
	SIGNA	TURE OF	APPLICA	ANT, ATTORNEY, O	R AGENT			
Firm or Individual name Charles L. Miller Banner & Witcoff, Ltd.								
Signature								
Date November 16, 2001								
CERTIFICATE OF MAILING								
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope								
addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:								
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Signature		<u></u>			Date			

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FEB TO ANOMITT AL	Complete if Known					
○FEE TRANSMITTAL	Application Number	09/910,159				
NOV 1 6 2001 FOR FY 2002	Filing Date	July 20, 2001				
	First Named Inventor	Devitt				
Patentiees are subject to annual revision.	Examiner Name	n/a				
Patenties are subject to annual revision.	Group / Art Unit	2165				
TOTAL AMOUNT OF PAYMENT (\$) 240	Attorney Docket No.	05222.00131				

TOTAL AMOUNT OF TATMENT (#) 240							Allohoy booker No.					
METHOD OF PAYMENT (check one)							FEE CALCULATION (continued)					
1. 🛛	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:			3				Small Entity				
Depo		04.005	· n			Fe Co	ee ode	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
	Account 01-0850 Number		10)5	130	205	65	Surcharge - late filing fee or oath	130			
				12	27	50	227	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit Account		13	39	130	139	130	Non-English specification					
1.0000		14	17	2,520	147	2,520	For filing a request for reexamination					
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				11	12	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Applicant claims small entity status. See 37 CFR 1.27						11	13	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment Enclosed:						11	15	110	215	55	Extension for reply within first month	110
☐ Check ☐ Credit card ☐ Money ☐ Other Order						11	16	400	216	200	Extension for reply within second month	
			EEE C	ALCULATION		 11	17	920	217	460	Extension for reply within third month	
1. E	ASIC FIL	ING FEE		ALCOLATION		11	18	1,440	218	720	Extension for reply within fourth month	
Large	Entity	Small E	intity			12	28	1,960	228	980	Extension for reply within fifth month	
Fee				Fee Description	F B.14	11	19	320	219	160	Notice of Appeal	
Code			\$)	Lume En - E-	Fee Paid	12	20	320	220	160	Filing a brief in support of an appeal	
101				Utility filing fee		12	21	280	221	140	Request for oral hearing	
106 107				Design filing fee Plant filing fee		13	38	1,510	138	1,510	Petition to institute a public use proceeding	
108	740	208 3	370	Reissue filing fee		14	10	110	240	55	Petition to revive - unavoidable	
114	160	214 8	30	Provisional filling fe	e] 14	1 1	1,280	241	640	Petition to revive – unintentional	
SUBTOTAL (1) (\$) 0				₇ 14	12	1,280	242	640	Utility issue fee (or reissue)			
		306	SIUIA	L (1)	(\$) 0	14	‡ 3	460	243	230	Design issue fee	
2. EXTR	A CLAIN	/ FEES				14	‡ 4	620	244	310	Plant issue fee	
					from Fee	12	22	130	122	130	Petitions to the Commissioner	
Tatal Claim	—	-20 *	1	Claims belo	w Paid = 0	ı 12	23	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Total Clain Independen Claims		-3 "	-	0 X X	= 0	12	26	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent	L		1	× [= 0	58	31	40	581	40	Recording each patent assignment per property (times number of properties)	
Large	Entity		Entit	у		14	16	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		14	\$ 9	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
103	18	203	9	Claims in excess		4,	79	740	279	370	Request for Continued Examination (RCE)	
102 104	84 280	202 204	42 140	•	ims in excess of 3							
109	84	209	42	** Reissue inder	ent claim, if not paid endent claims over	16	169 900 169 900 Request for expedited examination of a design application					
110	18	210	9	original patent ** Reissue claim over original pat	s in excess of 20 and ent		Other fee (specify)					
	SUBTOTAL (2) (\$) 0 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 240											
**or number previously paid, if greater; For Reissues, see above										(\$) 270		
	- The state of the											

1	SUBMITTED BY			Complete (if applicable)				
ı	Name (Print/Type)	Charles L. Miller	Registration No. Attorney/Agent)	43,805	Telephone	312-715-1000		
l	Signature	Mall	9 AN		Date	November 16, 2001		

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